

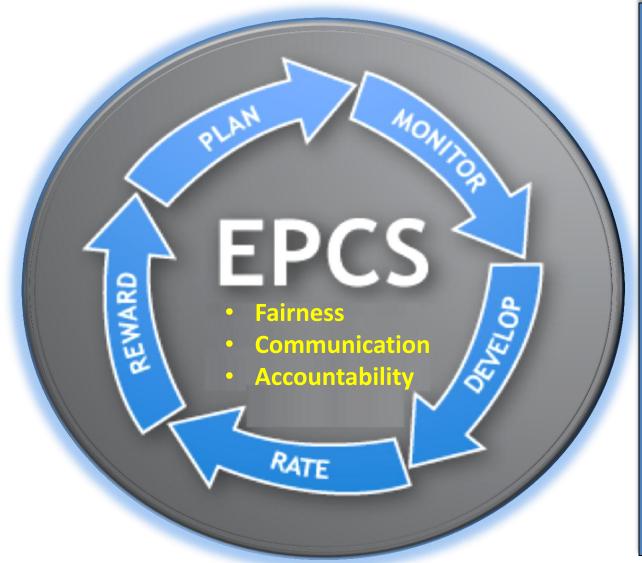
Performance Management and SPACE - Employees

May 2013

Training Objectives

- Introductions
- Learn about changes to NASA's Employee Performance Communication System (EPCS) – 2013 and 2014
- Review some Best Practices for EPCS
- Learn how to use the Standard Performance Appraisal Communication Environment (SPACE)

Performance Management Lifecycle



FAIRNESS

- Appropriately specific, objective, and achievable performance expectations
- Performance assessed consistently and fairly, based on established expectations

COMMUNICATION

- Understand your supervisor's expectations and how well you are meeting them
- Have on-going communications with your supervisor
- Seek common understanding with your supervisor

ACCOUNTABILITY

 Employees – all of us – are accountable for meeting expectations

Part 1

CHANGES TO EPCS



Summary of Changes

SPACE Labor/Management MOA signed on 4/18/13

	Start 2012-13	End 2012-13	2013-14 SPACE
Establishing Elements	Supervisor writes	No change	Element library or supervisor can write elements
Individual Element Ratings	Elements rated on 5 levels	No change	Elements rated on 3 levels - L5: Substantively Exceeds Expect L3: Meets Expectations - L1: Fails to meet Expectations
Final Ratings	Lowest element rating drives the summary rating	Calculate average of 3, 4, and 5 element ratings: - 5.0 = Distinguished - 4.0-4.999 = Accomplished - 3.0-3.999 = Fully Successful - 2 on element = Needs Impr 1 on element = Unacceptable	Calculate average of 3 and 5 element ratings: - 5.0 = Distinguished - 4.0-4.999 = Accomplished - 3.0-3.999 = Fully Successful - 1 on element = Unacceptable Level 2 eliminated from final ratings
Example	Element ratings = 5, 4, 3 Final rating = 3	Element ratings = 5, 4, 3 Final rating = 4	Element ratings = 5, 5, 3 Final rating = 4

Part 2

STANDARD PERFORMANCE APPRAISAL COMMUNICATION ENVIRONMENT (SPACE)

How to use SPACE

EPCS

System Access

- Employee (GS-level) Review, provide comments, sign performance plan and reviews; note accomplishments; request progress reviews
- Rating Official (employee's immediate supervisor) –
 Create/modify/sign performance plans; view employee
 accomplishments in system as designated by the employee; create
 notes; conduct final ratings
- Reviewing Official (rating official's supervisor) Review final ratings;
 signs off on review

No Contractor Access To SPACE

SPACE Access

Access SPACE via the Human Resources (HR) Portal: https://hr.nasa.gov

- Log in using NDC credentials
- Inside NASA firewall or VPN for remote access
- Time out after 20 minutes of no activity





SPACE

- SPACE is a TOOL that automates EPCS
- Same EPCS policies & procedures
- Does not replace EPCS, but automates it



- Developed by Kennedy Space Center
- All NASA Centers are using it beginning 2013 2014

SPACE "Goes Live" - May 6, 2013

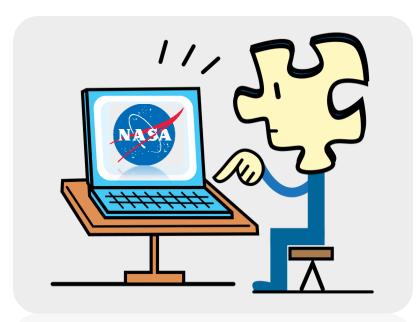


Employee performance appraisals must be in place by July 31, 2013.



Performance Plan: Getting Started

Supervisor initiates performance plan in SPACE



A PERFORMANCE PLAN:

- Documents an employee's assigned responsibilities and how performance will be measured
- Should be developed by supervisor and employee together
- Must be provided to and discussed with employee
- Is established within first 30 days of appraisal period, then updated as needed
- Government regulations and NASA's EPCS policy require that employees have the opportunity to provide input into their performance plans

SPACE emails employee advising of the need to review the plan

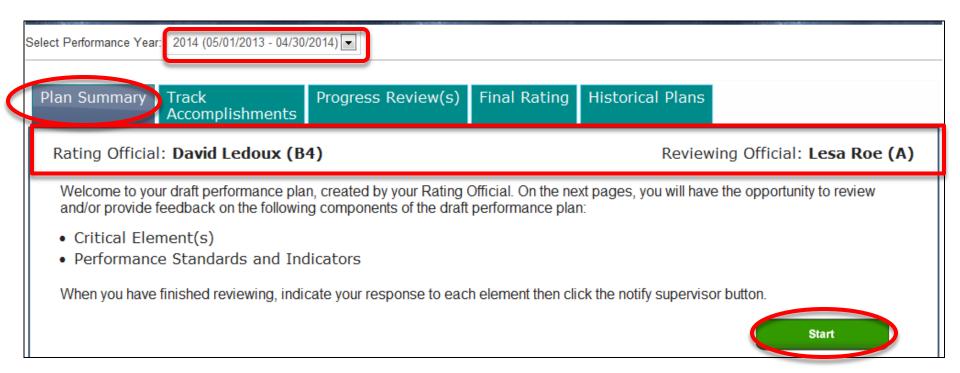


Performance Plan in SPACE

Click the **Plan Summary** tab (if not already selected)

Click Start

Review the Critical Element and the related performance standards and indicators





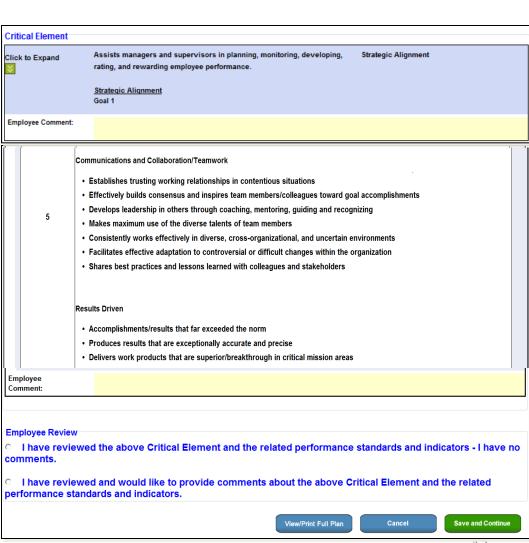
Review each Critical Element and the related performance standards and indicators

Critical Element

- Reflect the <u>most important</u> work for which you are responsible
- Critical: work is of such importance that failure to meet standards = Unacceptable rating
- At least one element must <u>show alignment</u> to Agency/Center/Org goals/objectives
- All elements are critical; minimum of 2

Performance Standard: Written at Level 3
Describes the way an employee must perform to
meet expectations for the element; measureable
and focused on results

Performance Indicator: Written at Level 5
Reflects examples of the types of performance that substantively exceed standards



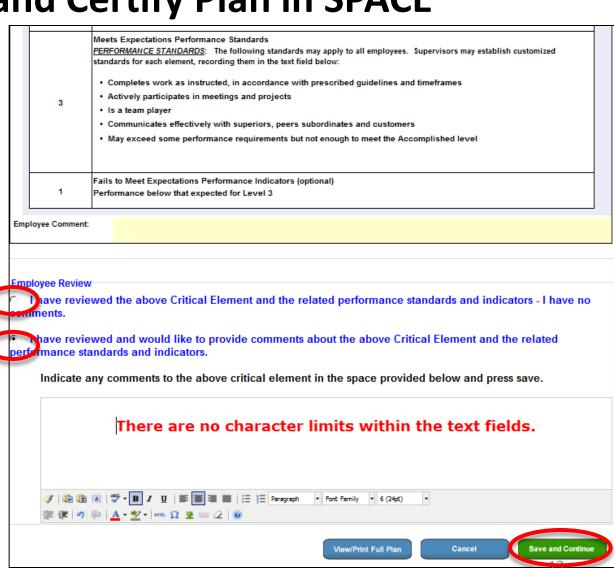


Record your review using one of two options:

- 1. No comments
- Provide comments Enter your comments in the comments text field
- 3. Click Save and Continue

(Repeat for Each Element)

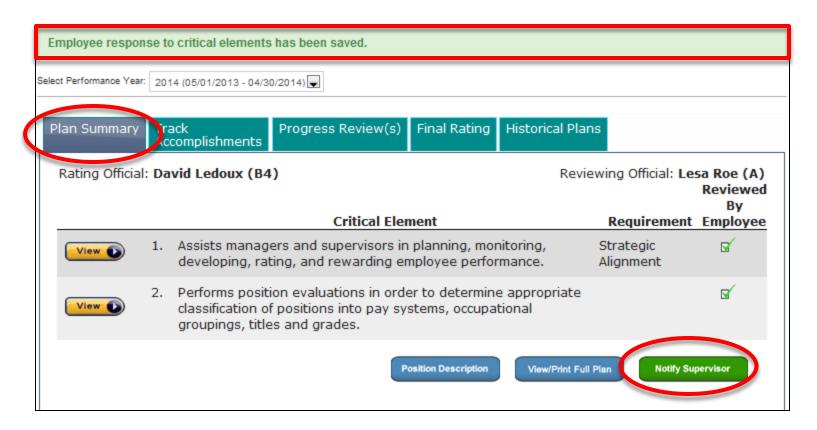
Employee review of the performance plan is mandatory in SPACE





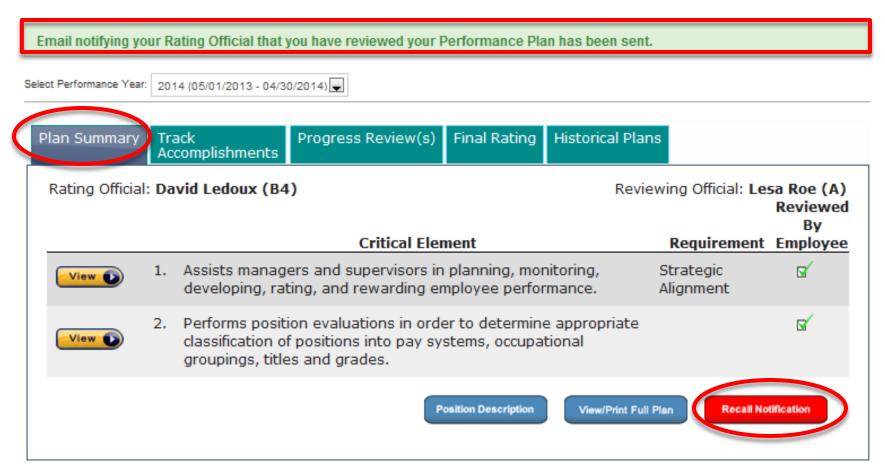
After Reviewing and Saving Each Critical Element:

View snapshot of the Critical Elements Click **Notify Supervisor**





NOTE: Employee has option to Recall Notification



How to use SPACE

EPCS

Review and Certify Plan in SPACE



SUPERVISOR AND EMPLOYEE HAVE FACE-TO-FACE MEETING. SCHEDULED OUTSIDE OF SPACE.

PERFORMANCE PLAN DISCUSSION

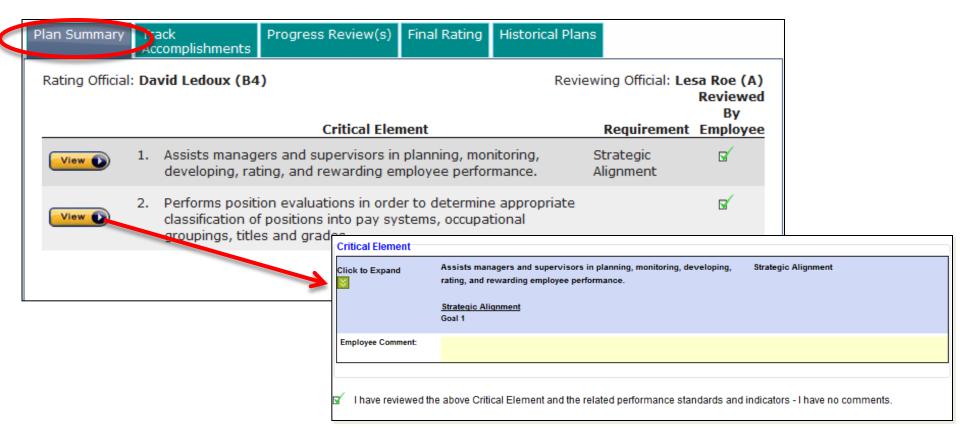
- While reviewing your draft plan, identify questions/concerns/ feedback for discussion
- Seek common understanding of performance expectations, even if ultimately you do not agree with everything in the plan
- Discuss:
 - Critical elements, performance standards, and indicators
 - Any questions or concerns you have, or clarifications that you need
 - Relationship of performance expectations to organization/Center/Agency goals
 - Training and development needs and opportunities
- Work with supervisor to make appropriate modifications to plan
- Rating Officials make final decision on plan content



Signing Performance Plan in SPACE

After Employee and Supervisor Have Face-to-Face Discussion, Log into SPACE:

- Click the Plan Summary tab (if not already selected)
- As needed, click View next to each Critical Element to view the Critical Element and the related performance standards and indicators

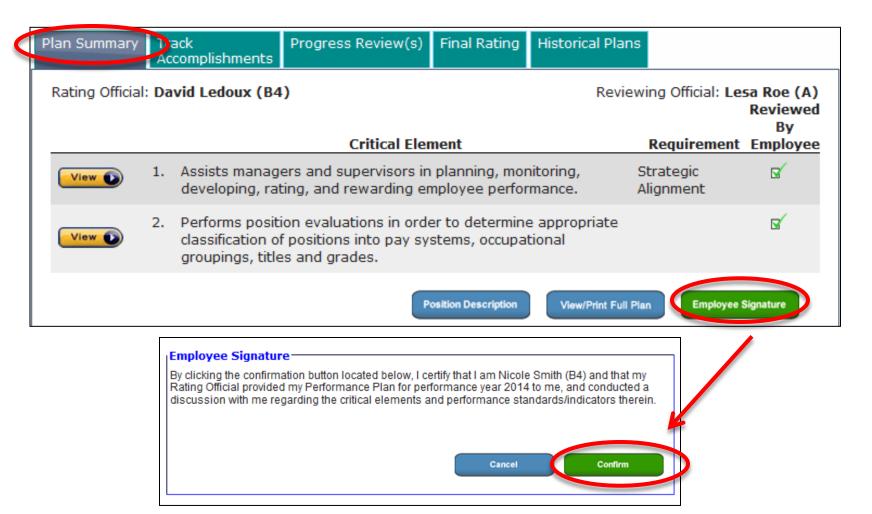


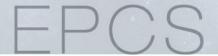


Signing Performance Plan in SPACE

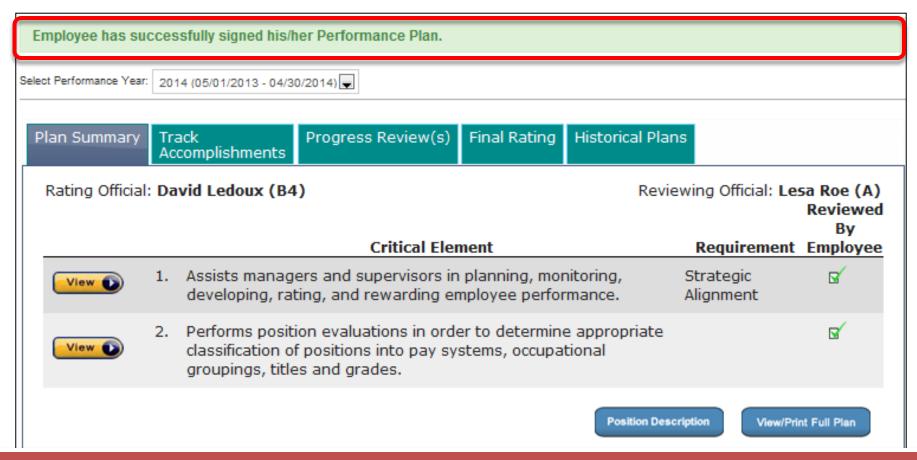
Click Employee Signature

Click Confirm





Complete & Certify Plan in SPACE - Employee



NOTE: Supervisor will receive an email and note in SPACE that employee has signed plan. At this point, supervisor will enter SPACE and sign plan. Employee Performance Plan is now complete.



Employee-Requested Progress Reviews in SPACE

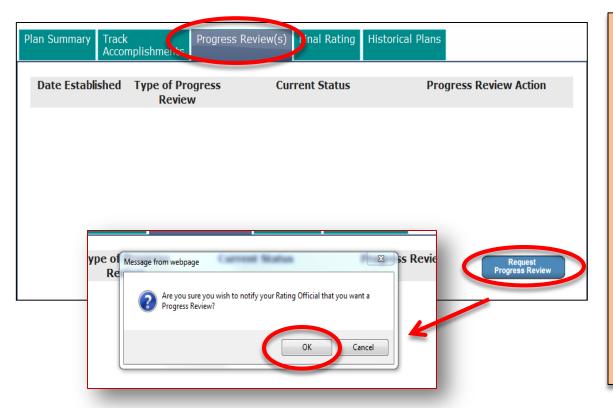
(Optional)

Click the **Progress Review(s)** tab

Click Request Progress Review

Click **OK** on the popup window

You will be copied on the e-mail sent to your Rating Official requesting a progress review



MONITORING PERFORMANCE is the responsibility of the supervisor and the employee

Monitoring performance includes:

- On-going communication
- Tracking progress
- Progress reviews, including midpoint
- Re-evaluating expectations if employee's responsibilities change
- Addressing performance problems



Complete Employee-Requested Progress Review



BEFORE PROCEEDING TO THE NEXT STEP, THE EMPLOYEE AND SUPERVISOR SHOULD HAVE A FACE-TO-FACE DISCUSSION. SUPERVISOR SHOULD SCHEDULE MEETING OUTSIDE OF SPACE.

Employee will receive an email notification that a progress review was conducted and is ready for sign-off

Click the **Progress Review(s)** tab Click **View/Sign Progress Review**

Plan Summary Track Accomplishments Progress Review(s) (Waiting Signature: 1) Final Rating Historical Plans

Date Established Type of Progress Review

03/13/2013 General Progress Review Completed. Waiting for Employee Signature

Progress Review Completed. Waiting for Employee Signature



Midterm Progress Reviews in SPACE

(Mandatory)

You are encouraged to provide self-assessments at midpoint review and final rating time Self-assessments should encompass more than accomplishments:

- Did you accomplish what you were required to? More? Less?
- Assess your performance against your standards and address how you believe that you have met, substantially exceeded, or not met them

After you and your supervisor have had a midterm progress review discussion, both your supervisor and you sign to document completion of the review. You receive an email notification that the review is ready for sign-off

Click Progress Reviews Tab

Click View/Sign Progress Review



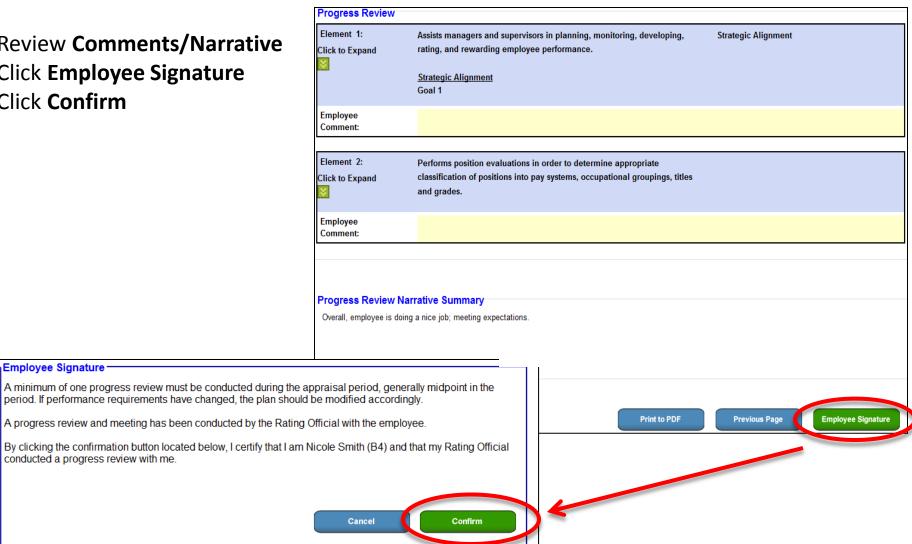


Midterm Progress Review in SPACE

Review Comments/Narrative Click Employee Signature Click Confirm

Employee Signature

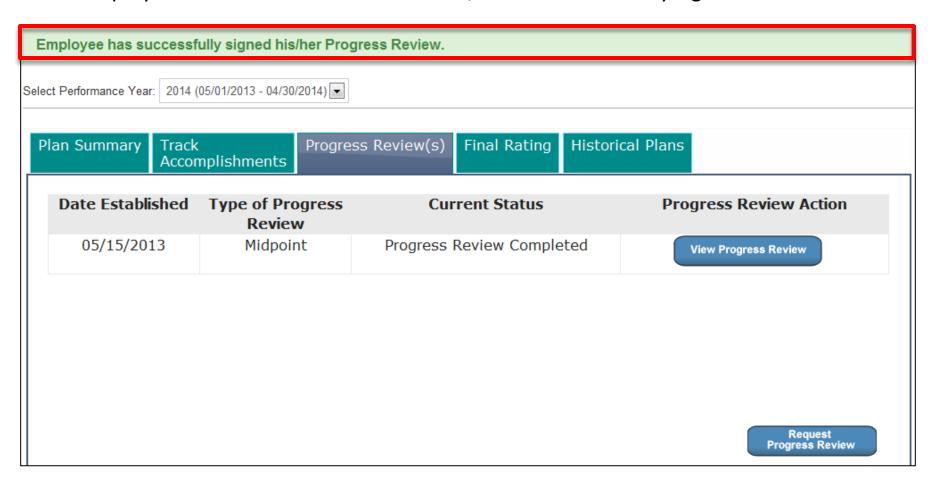
conducted a progress review with me.





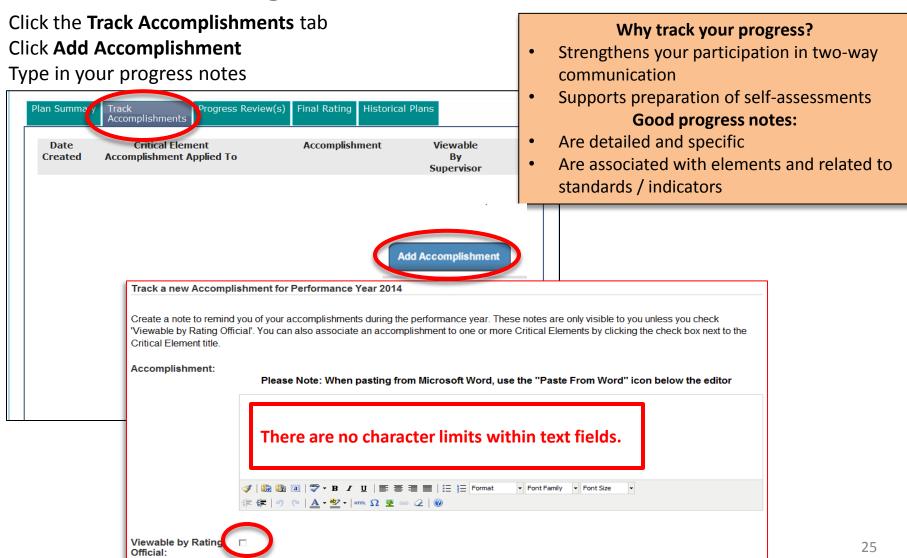
Midterm Progress Review in SPACE

NOTE: Employee receives confirmation that he/she has successfully signed Midterm Review.





Tracking Your Performance in SPACE



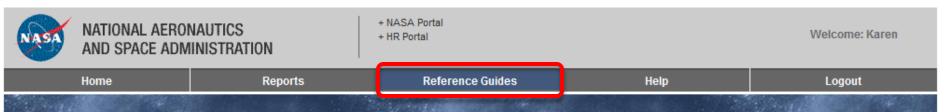


SPACE AUTOMATES EPCS: CLOSE OUT UNDER CONSTRUCTION DUE TO AGENCY'S SYSTEM CONFIGURATION.

SPACE AUTOMATES EPCS: OTHER SPACE FEATURES



SPACE REFERENCE GUIDES FEATURE



Standard Performance Appraisal Communication Environment (SPACE)

SPACE Reference Material

- NASA Strategic Plan
- NASA Employee Performance Communication System (NPR 3430.1C)
- · A Supervisor's Guide to Assessing Performance
- Reconsiderations: Appraisal Disagreements Guide for Supervisors
- · Langley's Alignment to NASA Strategic Goals
- · Uniformed Services Employment and Reemployment Rights Act (USERRA) and Performance Appraisal
- To access additional SPACE information, please visit the Langley OHCM website



SPACE Features



SPACE HELP FEATURE

Home Reports Reference Guides Help Logout

Standard Performance Appraisal Communication Environment (SPACE)

Policy or Performance Plan Questions:

If you have questions regarding policy or your performance plan please first contact your supervisor. If questions remain please contact a LARC SPACE representative at 757.864.3350. You may also submit your question(s) via email at larc-dl-ohcm-space. Any employee who submits a question (s) via email will receive a response within two business days.

General or Technical Questions:

Questions related to performance management, policy, and appraisals should be directed to the LARC POCs identified above. If you need technical assistance regarding SPACE, please contact the NASA Shared Services Center (NSSC) Enterprise Service Desk (ESD) at 877-677-2123 or 877-NSSC123.

Reference Guides:

Reference Guides and training material can be found under the Reference Guides tab or by clicking here

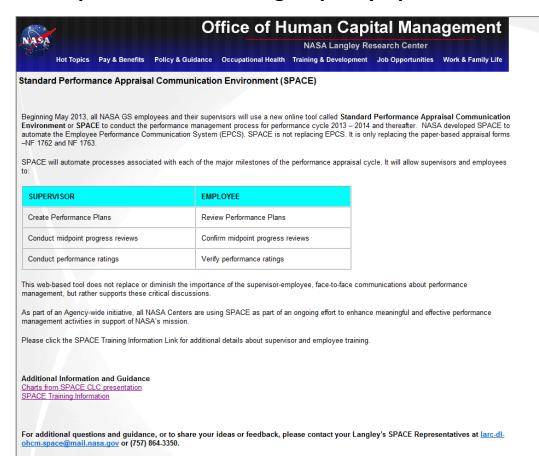


SPACE CONTACT INFO

SPACE HELP DESK PHONE NUMBER: x43350

SPACE EMAIL ADDRESS: <u>larc-dl-ohcm-space@mail.nasa.gov</u>

OHCM WEBSITE: http://ohcm.larc.nasa.gov/policy/space/index.html





SPACE TRAINING TIMELINE

• EMPLOYEE TRAINING DATES, TIMES, LOCATIONS

May 17, 2013	10:00 – 11:00 AM	Pearl Young Theater, Bldg 1202
May 20, 2013	2:00 – 3:00 PM	Pearl Young Theater, Bldg 1202
May 29, 2013	1:00 - 2:00 PM	Pearl Young Theater, Bldg 1202
June , 2013	TBD	
June , 2013	TBD	

• LIVE LAB DATES, TIMES, LOCATIONS

June 3	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
June 10	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
June 17	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
June 20	1:00 - 4:00 PM	BLDG. 1194	Computer Lab/Room 206
June 25	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
June 27	1:00 - 4:00 PM	BLDG. 1194	Computer Lab/Room 206
July 2 and 4	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
July 9 and 11	1:00 - 4:00 PM	BLDG. 1194	Computer Lab/Room 206
July 16 and 18	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
July 23 and 25	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206
July 30	1:00 – 4:00 PM	BLDG. 1194	Computer Lab/Room 206

FPCS

BACK-UP INFORMATION

Changes to EPCS EPCS

2013 – 2014 Changes to EPCS

- Individual performance elements under EPCS will be rated at one of only three levels:
 - Substantively Exceeds Expectations (Level 5)
 - Meets Expectations (Level 3)
 - Fails to Meet Expectations (Level 1)
- Exceeds Expectations: "Performance that consistently exceeds the performance standards to a substantial degree for the element"
- Needs Improvement (Level 2) is eliminated performance below Level 3 is "Fails to Meet" and requires corrective action

2013 – 2014 Changes to EPCS (cont.)

- Summary ratings will be determined by calculating the average of 3 and 5 element ratings with the following thresholds:
 - 5.0 = Distinguished (Level 5) summary rating
 - 4.X = Accomplished (Level 4) summary rating
 - 3.X = Fully Successful (Level 3) summary rating
 - If any element is rated 1, the summary rating is Unacceptable (Level 1)

How to use SPACE EPCS

Employee

- Review, provide comments on, and sign Performance Plans
- Review and sign midpoint and other Progress Reviews, as well as Final Ratings
- Request Progress Reviews
- Request reconsideration of Final Rating
- Can use SPACE to track accomplishments throughout the year
 - Employees elect to make accomplishments visible to supervisor
- Summarize Accomplishments in self-assessments for midpoint Progress Review and Final Ratings

How to use SPACE

EPCS

Rating Official

- Create/modify/sign/delete Performance Plans*
- Create a new version of an existing Performance Plan
- Create/modify/sign/delete Progress Reviews*
- View employee's Accomplishments (which employee made available to supervisor) and self-assessments
- Create notes on their employees' performance
- Conduct final ratings: rate each Element and write narrative summary

^{*}Once the performance plan is signed, changes will result in a new version of the plan. The new version will go to the employee for signature.

Reviewing Official

- Same permissions as Rating Official
 - Can sign Performance Plan, Progress Review, Final Ratings, if Rating Official is not available
- Reviews Final Ratings and signs off on having completed their review



Email to Employee-Review Performance Plan

Employee receives a systemgenerated email

- Provides link to SPACE
- Provides instruction
- Explains next steps

Subject: EPCS: DRAFT PERFORMANCE PLAN READY FOR YOUR REVIEW

Dear [Employee Name] (Org Code)

Your Rating Official has created a draft performance plan for you in accordance with the provisions of NASA's Employee Performance Communications System (EPCS), using the automated system Standard Performance Appraisal Communication Environment (SPACE).

Please click on the following link to review your performance plan in <u>SPACE</u>. You will be asked to review each critical element and the related performance expectations, and you will have an opportunity to provide comments.

Beginning in the 2013-14 appraisal cycle, employees' critical elements under EPCS will be rated at one of three levels:

- Substantively Exceeds Expectations (Level 5) Performance that consistently exceeds the performance standards to a substantial degree for the element
- Meets Expectations (Level 3) Performance that fully and consistently meets the performance standards identified for the element
- Fails to Meet Expectations (Level 1) Performance that fails to meet the established performance standards for the element

For each critical element, your plan includes standards, expressing performance at the Meets level (Level 3); and indicators, examples and information reflecting the types of work consistent with the Substantively Exceeds level (Level 5).

After you have completed your review, please press the Notify Supervisor button. This will send an email to your Rating Official, who will be responsible for scheduling a performance plan discussion with you.

If at any time during the process you have any questions or experience any problems, please contact your Human Resources representative.

How to Use SPACE

EPCS

On-Going Communication

- Be an active participant in performance-related communication with your supervisor
 - Keep your supervisor informed about your work
 - Take advantage of opportunities to provide input
 - Encourage and remain open to constructive feedback
 - Listen and ask questions to facilitate understanding about your supervisor's expectations and your progress
 - Request assistance and support when needed
- Relate communications to critical elements and standards/ indicators
- Remember the definitions of the element ratings:
 - Meets: Performance that fully and consistently meets the performance standards
 - Substantively Exceeds: Performance that consistently exceeds the performance standards to a substantial degree